#### **EXHIBITS**

#### Section 2.2.1 – Legal Name

Please see attached copies of the Registration of Trade, Business & Fictitious Name Certificate for "pointclickswitch.com" filed in each of New Castle, Kent and Sussex Counties, duly stamped by the appropriate Prothonotary office.

New Castle County – filed January 29, 2019

Kent County – filed January 29, 2019

Sussex County – filed January 29, 2019



6 Del.C. Ch. 31

# REGISTRATION OF TRADE, BUSINESS & FICTITIOUS NAME CERTIFICATE

County: New Castle  Kent  Sussex  Suss					
					Phone Number: 888-826-1316
Person, Firm or Association Maryland Energy Adv		if applicable) :			
Names and addresses of Al	LL owners, membe	ers, or partners comprising the business:			
Last Name	First Name	Address			
Maryland Energy Advisors LLC		509 South Exeter Street, Suite 320, Baltimore, MD 21202			
Lashley	Emily	One W. Pennsylvania Ave, Suite 300, Towson, MD 21204			
	3/2010 in Maryland	= 2 5			
Nature of Business: Provi	ide energy broker	age and marketing services.			
State of Maryland					
County Baltimore		00			
BEFORE ME, the Subscribe	er a Notary Public o	of the State of Maryland , personally appeared			
		ate, who, having first been sworn by me according to law did			
depose and say as follows:		, , , 5			
1. He/She is a principal in t	he business describe	ed in the certificate.			
		e certificate is true, correct, and complete.			
		Mus			
		Affiant			
		Title: Emily K. Lashley, Authorized Agent of Maryland Energy Ab			
SWORN AND SUBSCRIBED this	$\frac{3}{2}$ day of $\frac{1}{2}$	Notary Public NOTA			
		Print Form  Revised 1/209UN			

# REGISTRATION OF TRADE, BUSINESS & FICTITIOUS NAME CERTIFICATE

County: New Castle  Kent  Sussex  Suss										
					Phone Number: 888-826	-1316				
					Person, Firm or Association Maryland Energy Advi	(Parent Company,	if applicable) :			+
Names and addresses of AL		ers, or partners co	mprising the bus	siness:	01100000000000000000000000000000000000					
Last Name	First Name		Address							
Maryland Energy Advisors LLC		509 South Exet	er Street, Suite 320	), Baltim	ore, MD 21202					
Lashley	Emily	One W. Pennsy	Ivania Ave, Suite 3	00, Tow	son, MD 21204					
				P****	****					
				73	8					
Date of Formation: 04/06/	2010 in Maryland de energy brokei		ng services.	AH 29 /						
				SCC THE SCC						
State of Maryland County Baltimore	<del></del>			:  }	A CONTRACTOR OF THE CONTRACTOR					
BEFORE ME, the Subscriber	r, 'a Notary Public	of the State of Mai	yland	, perso	nally appeared					
a principal in the business desc				•						
depose and say as follows:		, , ,								
1. He/She is a principal in the	ne business describe	ed in the certificate.								
2. That the foregoing inform <b>CERTIFIED</b> AS A TRUE C	ation provided in th	ne certificate is true,	correct, and comp	lete.						
ATTEST: SUSAN A. HE	ARM	/	VID	J						
PROTICONOTABLE	(111)	Affiar	nt 1							
BY		Títle:	Emily K. Lashley, Author	rized Agen	t of Maryland Energy A					
SWORN AND SUBSCRIBED this	23 day of <u>J</u>	anuary	Emily K. Lashley, Author		IN INSSA					
	<u> </u>	1	Celisse /	7/1	ners NOT					
		Notar	y Public	6	PUBL					
	. 1	Print Form			Revised 1/00					
		Name of the Control o			Keysed 1103.					

Page: 1

NCC

RECEIPT

State of Delaware

Receipt Number: SCU7110516

Date: 29-JAN-2019

pointclickswitch.com Cashier: SCCRIBRI

Comment:

Payor: WHITEFORD, TAYLOR & PRESTON

Address:

Quantity	Description	Amount
1	REGISTRATION-FICTITIOUS NA	ME 25.00
	CHECKCHECK NO. 138087	-25.00

Total Fees: 25.00

Total Payment: 25.00

Non-monetary public information on State of Delaware court cases is available on the internet at http://courtconnect.courts.delaware.gov. For publicly available details on Court of Chancery cases please use File & ServeXpress.



# REGISTRATION OF TRADE, BUSINESS & FICTITIOUS NAME CERTIFICATE

	kswitch.com	
Business Address: 509 S	outh Exeter St	reet, Suite 320, Baltimore, MD 21202
		The same
Dhone Number 999 076	-1316	SUE
Phone Number: 888-826		DAN SSE
Person, Firm or Association		if applicable):
Maryland Energy Adv	isors LLC	
Names and addresses of Al	LL owners, membe	ers, or partners comprising the business
Last Name	First Name	Address
Maryland Energy Advisors LLC		509 South Exeter Street, Suite 320, Baltimore, MD 2120
Lashley	Emily	One W. Pennsylvania Ave, Suite 300, Towson, MD 2120
04/06	:/2010 in Maryland	4
		<del></del>
	talle and a second transfer of	
Nature of Business: Provi	ide energy brokei	rage and marketing services.
	ide energy brokei	rage and marketing services.
State of Maryland	ide energy brokei	rage and marketing services.
State of Maryland County Baltimore		
State of Maryland County Baltimore BEFORE ME, the Subscribe	er, a Notary Public	of the State of Maryland , personally appeared
State of Maryland  County Baltimore  BEFORE ME, the Subscribe a principal in the business described	er, a Notary Public	
State of Maryland  County Baltimore  BEFORE ME, the Subscribe of principal in the business desired depose and say as follows:	er, a Notary Public of Certific	of the State of <u>Maryland</u> , personally appeared rate, who, having first been sworn by me according to law did
State of Maryland  County Baltimore  BEFORE ME, the Subscribe of principal in the business described depose and say as follows:  1. He/She is a principal in the state of the	er, a Notary Public of cribed in the Certification the business describe	of the State of Maryland, personally appeared ate, who, having first been sworn by me according to law diesed in the certificate.
State of Maryland  County Baltimore  BEFORE ME, the Subscribe of principal in the business described depose and say as follows:  1. He/She is a principal in the state of the	er, a Notary Public of cribed in the Certification the business describe	of the State of <u>Maryland</u> , personally appeared rate, who, having first been sworn by me according to law did
State of Maryland  County Baltimore  BEFORE ME, the Subscribe of principal in the business described depose and say as follows:  1. He/She is a principal in the state of the	er, a Notary Public of cribed in the Certification the business describe	of the State of Maryland, personally appeared atte, who, having first been sworn by me according to law did attended in the certificate.
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State of Maryland  County Baltimore  BEFORE ME, the Subscribe of principal in the business described depose and say as follows:  1. He/She is a principal in the state of the	er, a Notary Public of cribed in the Certification the business describe	of the State of Maryland, personally appeared ate, who, having first been sworn by me according to law die and in the certificate.  The certificate is true, correct, and complete.  Affiant
State of Maryland  County Baltimore  BEFORE ME, the Subscribe of principal in the business desired by the second say as follows:  1. He/She is a principal in the second say as follows:  2. That the foregoing inform	er, a Notary Public or cribed in the Certific the business describe nation provided in th	of the State of Maryland , personally appeared tate, who, having first been sworn by me according to law did the certificate.  Title: Emily K. Lashley, Authorized Agent of Maryland Energy Control of the certificate is true.
State of Maryland  County Baltimore  BEFORE ME, the Subscribe of principal in the business described depose and say as follows:  1. He/She is a principal in the state of the	er, a Notary Public or cribed in the Certific the business describe nation provided in th	of the State of Maryland , personally appeared tate, who, having first been sworn by me according to law die ed in the certificate.  Title: Emily K. Lashley, Authorized Agent of Maryland Energy Complete.
State of Maryland  County Baltimore  BEFORE ME, the Subscribe of principal in the business desired by the second say as follows:  1. He/She is a principal in the second say as follows:  2. That the foregoing inform	er, a Notary Public or cribed in the Certific the business describe nation provided in th	of the State of Maryland , personally appeared tate, who, having first been sworn by me according to law die ed in the certificate.  Title: Emily K. Lashley, Authorized Agent of Maryland Energy Complete.
State of Maryland  County Baltimore  BEFORE ME, the Subscribe of principal in the business desired by the second say as follows:  1. He/She is a principal in the second say as follows:  2. That the foregoing inform	er, a Notary Public or cribed in the Certific the business describe nation provided in th	of the State of Maryland , personally appeared tate, who, having first been sworn by me according to law did the certificate.  Title: Emily K. Lashley, Authorized Agent of Maryland Energy Control of the certificate is true.
State of Maryland  County Baltimore  BEFORE ME, the Subscribe of principal in the business desired by the second say as follows:  1. He/She is a principal in the second say as follows:  2. That the foregoing informs	er, a Notary Public or cribed in the Certific the business describe nation provided in th	of the State of Maryland , personally appeared tate, who, having first been sworn by me according to law did to law did to law the did to law did to law the certificate.  Title: Emily K. Lashley, Authorized Agent of Maryland Energy Agent of Maryl
State of Maryland  County Baltimore  BEFORE ME, the Subscribe of principal in the business desired by the second say as follows:  1. He/She is a principal in the second say as follows:  2. That the foregoing inform	er, a Notary Public or cribed in the Certific the business describe nation provided in th	of the State of Maryland , personally appeared tate, who, having first been sworn by me according to law did to law did to law the certificate.  The certificate is true, correct, and complete.  Affiant  Title: Emily K. Lashley, Authorized Agent of Maryland Energy and the law did to law

#### Section 2.2.3 Authorization

- $\bullet$  Maryland Business License N/A Maryland does not require Applicant to obtain a business license
- Delaware Business License Application submitted May 21, 2019, a copy of the temporary business license is attached; permanent license approval pending

#### State of Delaware Division of Revenue Temporary License

License Number:	2019604328
Business Name:	Maryland Energy Advisors LLC
Business Address:	509 S. EXETER ST SUITE 320 BALTIMORE, MD 21202 USA

Revenue Code:	Subtype:	Temp License Expiration:	Tax Period:	Business Code:
0101	01	60 Days from Receipt Date	2019	120-BROKER
Receipt Date:	Document Location Number:		Amount:	Officer:

#### Section 2.2.19 Retail Experience

- Please provide a description of the nature of the business/services the Company intends to provide in Delaware
  - To act as a broker of gas, electricity and energy consultant to residential and business consumers
- Please provide the Commission Orders for the District of Columbia (gas), Rhode Island, Pennsylvania, and Virginia
  - o DC attached
  - o Rhode Island attached
  - o Virginia attached
  - Pennsylvania [we did provide a doc captioned Final Order dated October 19,
     2019; on August 31, 2017 a Tentative Order was entered, which, according to the
     Tentative Order automatically became a Final Order.

#### PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA 1333 H STREET N.W., 2ND FLOOR, WEST TOWER WASHINGTON, D.C. 20005

#### **ORDER**

**November 18, 2014** 

FORMAL CASE NO. GA 2014-08, IN THE MATTER OF THE APPLICATION OF MARYLAND ENERGY ADVSIORS, LLC D/B/A POINTCLICKSWITCH.COM FOR A NATURAL GAS SUPPLIER LICENSE; Order No. 17705

#### I. <u>INTRODUCTION</u>

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants a license to Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com ("Maryland Energy Advisors" or "Applicant") to operate as a natural gas supplier in the District of Columbia. The license for Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com is effective upon the issuance of this Order.

#### II. BACKGROUND

- 2. On July 14, 2014, Maryland Energy Advisors filed its license application.<sup>2</sup> Specifically, Maryland Energy Advisors intend to operate as an aggregator/broker of natural gas for distribution to residential, commercial and industrial customers in the District of Columbia. Pursuant to the Commission's licensing requirements as set forth in Order Nos. 12709 and 12903 in GT 96-3,<sup>3</sup> Maryland Energy Advisors provided the following information:
  - a) Proof of technical and managerial competence;
  - b) Affidavit dated June 23, 2014, affirming compliance with applicable Federal and District of Columbia environmental laws and regulations;
  - c) Proof of financial integrity including financial statements;
  - d) Proof that Maryland Energy Advisors registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;

D.C. Code § 34-1671.02(12) (2001) defines a natural gas supplier as "a person including an aggregator, broker, or marketer, who sell natural gas or purchases, brokers, arranges or, markets natural gas for sale to customers."

Formal Case No. GA 2014-08, In the Matter of the Application of Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com for a Natural Gas Supplier License ("Formal Case No. GA 2014-08"), Application of Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com filed July 14, 2014.

<sup>3</sup> GT 96-3, Order No. 12709, as revised by Order No. 12903, at Attachment C.

Order No. 17705 Page No. 2

e) Affidavit dated June 23, 2014, stating that Maryland Energy Advisors is subject to all applicable taxes;

- f) Affidavit dated June 23, 2014, stating that Maryland Energy Advisors will comply with all the requirements of all orders and regulations of the Commission; and
- g) Applicant's website address': <u>www.mdenergyadvisors.com</u>; <u>www.pointclickswitch.com</u>.

Maryland Energy Advisors filed supplemental information on August 18, September 15 and October 29, 2014.<sup>4</sup>

#### III. DISCUSSION

3. Our review of the information contained in Maryland Energy Advisors' application demonstrates that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve natural gas customers in the District of Columbia. The Commission also finds that granting Maryland Energy Advisors' application will serve the public interest. Accordingly Maryland Energy Advisors' application is approved.

#### THEREFORE, IT IS ORDERED THAT:

4. The application of Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com for a license to conduct business in the District of Columbia as an aggregator/broker of natural gas is hereby **GRANTED**. The license for Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com is effective upon the issuance of this Order.

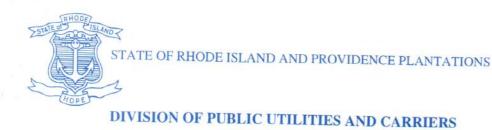
A TRUE COPY: BY DIRECTION OF THE COMMISSION:

CHIEF CLERK: BRINDA WESTBROOK-SEDGWICK

**COMMISSION SECRETARY** 

Bride Dethart Stepwil

Formal Case No. GA 2014-08, Maryland Energy Advisors filed supplemental information on August 18, September 15 and October 29, 2014.



Thomas F. Ahern ADMINISTRATOR 89 Jefferson Boulevard Warwick, R.I. 02888

OFFICE (401) 941-4500 x115 FAX (401) 941-9207 TDD (401) 941-4500

January 20, 2015

Phil Croskey CEO Maryland Energy Advisors, LLC 509 S. Exeter Street, Suite 500 Baltimore, MD 21202

Re: Maryland Energy Advisors, LLC's Application for Registration as a Non Regulated Power Producer pursuant to R.I.G.L. 39-1-27.1(c). Division Docket D-96-6 (I7)

Dear Mr. Croskey:

The Division of Public Utilities has reviewed Maryland Energy Advisors, LLC's application for registration as a nonregulated power producer, pursuant to R.I.G.L. 39-1-27.1(c) and the Division's Rules Applicable to Nonregulated Power Producers. The application is complete as to the statutory requirements of 39-1-27.1(c), itemized in statute at (i) through (ix) and the Division's rules.

The Division accepts the registration application of Maryland Energy Advisors, LLC as a nonregulated power producer. In conformance with the law, please note that the registration must be updated within ten days of any change to the information included in your application.

Very truly yours,

cc: Luly Massaro, Commission Clerk John Bell, Utility Analyst

T F. ahern

COMMONWEALTH OF VIRGINIA

STATE CORPORATION COMMISSION 40830182

AT RICHMOND, AUGUST 27, 2014

SCC-CLERK'S OFFICE DOCUMENT CONTROL CENTER

APPLICATION OF

7014 AUG 27 P 4: 25

MARYLAND ENERGY ADVISORS, LLC

CASE NO. PUE-2014-00074

For a license to conduct business as an aggregator of natural gas and electricity

#### ORDER GRANTING LICENSE

On July 14, 2014, Maryland Energy Advisors, LLC ("MEA" or "Company"), filed an application with the State Corporation Commission ("Commission") for a license to conduct business as an aggregator of natural gas and electricity ("Application"). In its Application, the Company seeks authority to serve commercial and industrial customers throughout Virginia. The Company attested that it would abide by all applicable regulations of the Commission as required by 20 VAC 5-312-40 B of the Commission's Rules Governing Retail Access to Competitive Energy Services.

On July 23, 2014, the Commission entered an Order for Notice and Comment, which, among other things, docketed the case; required MEA to give notice to appropriate persons; provided for the receipt of comments from the public; required the Commission Staff ("Staff") to analyze the reasonableness of the Application and present its findings and recommendations in a Staff Report; and provided an opportunity for participants to file any reply comments to the Staff Report.

<sup>&</sup>lt;sup>1</sup> Although MEA seeks to serve customers throughout the Commonwealth of Virginia, retail choice as authorized under Virginia law exists only in the service territories of Columbia Gas of Virginia, Inc., Washington Gas Light Company, Dominion Virginia Power, Appalachian Power Company, and the electric cooperatives. Access to industrial gas customers in other gas distribution service territories has existed under FERC authority since the mid-1980s.

On August 11, 2014, Virginia Electric and Power Company d/b/a Dominion Virginia Power ("Dominion Virginia Power") filed a Notice of Participation.

On August 18, 2014, the Staff filed its Report, which summarized MEA's proposal and evaluated its technical fitness and financial condition. The Staff recommended that MEA be granted a license to conduct business as an aggregator of natural gas and electricity services to commercial, industrial and government customers throughout the Commonwealth of Virginia as the Commonwealth opens to retail access and customer choice. Neither MEA nor Dominion Virginia Power filed a response to the Staff Report.

NOW THE COMMISSION, upon consideration of the Application, participant comments, the Staff Report, and applicable law and our Retail Access Rules, finds that MEA's Application for a license to conduct business as an aggregator of natural gas and electricity should be granted, subject to the conditions set forth below, and that this case should be continued to accommodate the consideration of any subsequent amendments or modifications to the license granted herein.

### Accordingly, IT IS ORDERED THAT:

- (1) MEA is hereby granted License No. A-38 to provide competitive aggregation service for natural gas and electricity to eligible customers in the Commonwealth of Virginia as the Commonwealth opens to retail access and customer choice. This license to act as an aggregator is granted subject to the provisions of the Retail Access Rules, this Order, and other applicable law.
- (2) This license is not valid authority for the provision of any product or service not identified within the license itself.

(3) This case shall remain open for consideration of any subsequent amendments or modifications to the license granted herein.

AN ATTESTED COPY hereof shall be sent by the Clerk of the Commission to:

Jason Schwartzberg, President, Maryland Energy Advisors, LLC, 509 South Exeter Street,
Suite 500, Baltimore, MD 21202; William H. Baxter, II, Esquire, Dominion Resource Services,
Inc., Law Department, RS-2, 120 Tredegar Street, Richmond, Virginia 23219; Kristian M. Dahl,
Esquire; McGuire Woods LLP, One James Center, 901 East Cary Street, Richmond, Virginia
23219-4030; C. Meade Browder, Esquire, Senior Assistant Attorney General and Chief, Division
of Consumer Counsel, Office of the Attorney General, 900 East Main Street, Second Floor,
Richmond, Virginia 23219; Craig G. Goodman, President, National Energy Marketers
Association, 3333 K Street, N.W., Suite 425, Washington, D.C. 20007; and Irene Leech,
Virginia Citizens Consumer Council, 4220 North Fork Road, Elliston, Virginia 24087. A copy
shall be delivered to the Commission's Office of General Counsel and Divisions of Utility
Accounting and Finance and Energy Regulation.

A True Copy

Clerk of the State Corporation Commission

#### Section 2.2.13 Marketing

- Will the Company be employing third party vendors?
  - $\circ$  No
- Please submit copies of all marketing materials to be used in Delaware
  - o Tangible marketing materials are not utilized for marketing purposes; rather marketing is conducted by oral presentations, word of mouth referrals and the company's website <a href="https://www.mdenergyadvisors.com">www.mdenergyadvisors.com</a>
- Please provide details about the oversight, training and compliance that will be employed in Delaware.
  - o The 3 owners of the Applicant will be conducting all business in Delaware and will comply with all regulations and requirements applicable to energy brokers. At this time, the Applicant will not be employing any individuals in Delaware.

#### Section 17.1 Proprietary Information

- The following information is considered public and is included in the Staff memo:
  - o Present licenses
  - o Orders
  - o Decisions, and
  - o Professional Resumes
- Please upload these documents as public

#### **VERIFICATION**

STATE OF MARYLAND

22

COUNTY OF <u>Baltimore</u>

On This 2 day of \_\_\_\_\_\_\_, 2019, personally came before me, the subscriber, a Notary Public in and for the state and county aforesaid, Emily K. Lashley, as the Agent of Maryland Energy Advisors LLC, known to me personally to be such or having presented to me satisfactory evidence of identity, and acknowledged this document to be her act and deed and the act and deed of such Limited Liability Company, that the signature of such individual is in her own proper handwriting, and that the facts set forth in this Application's subsequent filings are true and correct to the best of her knowledge, information and belief.

Signature of Individual

Printed Name: Emily K. Lashley

SIGNED AND SWRON OR AFFIRMED before me on this 21 day of \_\_\_\_\_\_, 2019, by Emily K. Lashley (name of individual who signed above).

Signature of Notarial Officer

Title (e.g. Notary Public)

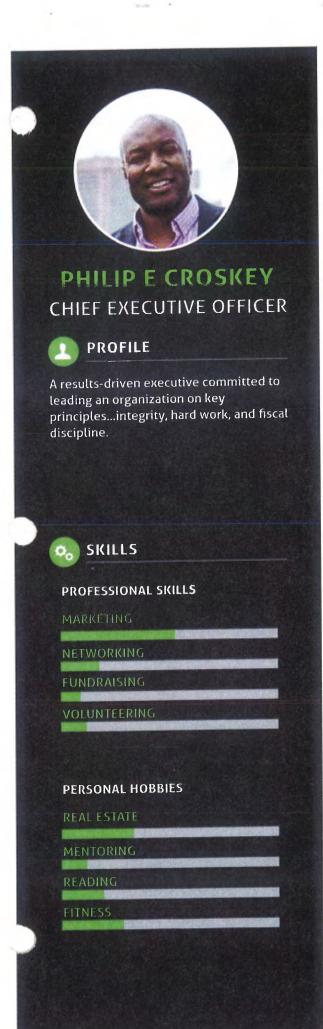
My Commission Expires:

10078568

SEAL NOTARY PUBLIC

#### Exhibit 17.1 – Resumes

Please see attached resumes of each of the three (3) individual founders, Philip E. Croskey, Paul T. Clary and Jason B. Schwartzberg, who will be responsible for operations in Delaware.



- 1705 Forest Creek Dr. Hanover, MD 21076
- 410-961-4299
- 🔁 phil@mdenergyadvisors.com
- www.mdenergyadvisors.com
- twitter.com/pointclickswitc
- facebook.com/pointclickswitch



#### WORK EXPERIENCE...CONTINUED

# SENIOR OFFICER - BUSINESS DEV., CONSUMER LENDING May 2004 - March 2005

MBNA America - Wilmington, DE

- Developed targeted direct mail marketing material and implemented strategies that resulted in 50,000 accounts and \$500Million in new loans.
- Managed all Consumer Lending Internet and email marketing efforts.
- Utilized database models to expand profitability of each new account.
- Tested sensitivities to ensure price was properly aligned with product to maximize profit margin.
- Maintained cost-effectiveness of mail campaigns by working with external vendors to ensure utilization of new technology.
- Develop and oversee implementation of sales team.

## OFFICER - MARKETING/ADVERTISING, CONSUMER LENDING Nov 1999 - May 2004

MBNA America - Wilmington, DE

- Direct mail marketing manager responsible for developing direct mail marketing solicitation while maintaining cost effectiveness and ROI.
- Managed National Education Association account and 400 marketing endorsement relationships for the Consumer Lending division
- Partnered with internal advertising to ensure effective marketing results to target audience.
- Developed magazine advertisements for endorsing group publications.
- Acquired 75,000 accounts through utilizing affinity direct mail marketing equating to \$750MM in new loans.



#### **EDUCATION**

BUSINESS MANAGEMENT - B.S. / Dec 1997 Morgan State University - Baltimore, MD



#### COMMUNITY INVOLVEMENT

BOARD MEMBER - American Association of Blacks in Energy (AABE Baltimore Chapter)



- 1705 Forest Creek Dr. Hanover, MD 21076
- 410-961-4299
- phil@mdenergyadvisors.com
- www.mdenergyadvisors.com
- twitter.com/pointclickswitc
- 🎁 facebook.com/pointclickswitch



#### WORK EXPERIENCE

## CHIEF EXECUTIVE OFFICER / April 2010 - Present Maryland Energy Advisors, LLC - Baltimore, MD

- · Responsible for Strategic Direction of Company.
- Provide oversight of Startup Company.
- Assist commercial and residential energy customers procure energy from alternative suppliers.
- · Develop and oversee implementation of sales team.

## **DIRECTOR OF ECONOMIC DEVELOPMENT** / June 2005 - Oct 2012 Baltimore Development Corp - Baltimore, MD

- Responsible for economic development activities for West Baltimore. Including but limited to increasing the tax base in West Baltimore and identifying opportunities for job creation through retention and attraction of business.
- Managing and overseeing progress for the City's \$1.4 billion Westport project that will produce \$350 million in net new property taxes over 20 years. While working with the City of Baltimore Finance Department to issue the largest Tax Increment Financing bond bill of \$160 million.
- Negotiated land disposition agreement for the \$400 million development of 11-acre site along the Middle Branch known as Gateway South.
- Overseeing the \$1.8 billion project known as State Center.
- Managed the \$6 million redevelopment of the former Northern District Police Station.
- Managing redevelopment of the Charles North District a \$200M implementation of the Charles North Master Plan.
- Managed the \$30 million relocation and development of New Psalmist Baptist to the Seton Business Park
- Managed a staff of four, providing a team oriented environment where everyone is given the encouragement to succeed.

#### INTERIM PRESIDENT & CEO / Jan 2008 - Aug 2009 Park Heights Renaissance Inc. - Baltimore, MD

- Responsible for oversight and implementation of the Park Heights Master Plan.
- Oversaw day to day operations of the organization.
- Initiated all facets of the startup operation for the Park Height Renaissance (PHR).
- · Management and oversight of the budget for PHR.
- Raised capital for the organization and community including \$4.5MM from the State of Maryland, \$50K in Community Investment Tax Credits, \$25k from Enterprise Community Partners.
- Formulated strategic direction and staffing needs for organization.
- · Established the Park Heights Residents/Community Council.
- Oversight of the Home Improvement Loan where 43
  homeowners to date in Park Heights have received extensive
  renovation to their homes and top quality service.
- Hired and managed existing staff of four. Providing a team first environment where everyone feels vested in the success of the organization.

#### Paul T. Clary

Paul@mdenergyadvisors.com 815 Pershing Drive Apt 123 Silver Spring, MD 20910 Cell: (410) 409-7954

#### MARYLAND ENERGY ADVISORS

Baltimore, MD April 2010 – Pres

#### **Founder**

Shape and develop energy strategy for commercial, residential and multifamily clients.

- Provide clients with overview of energy landscape
- Develop energy education outreach strategies for employers and neighborhood associations
- Evaluate battery storage, solar energy and wind energy options for commercial and industrial clients
- Perform energy audit, lighting retrofit project management and assist with utility bill auditing
- Evaluate energy efficiency consulting to the commercial, residential and multifamily sectors
- Advise on strategic electricity and natural gas purchasing decisions

## **BALTIMORE DEVELOPMENT CORPORATION Economic Development Officer – West Team**

Baltimore, MD

December 2008 - November 2012

Project manager responsible for overseeing day-to-day economic development initiatives.

- Serve as project manager on the \$1.4 billion Westport Waterfront, a land redevelopment project in the City of Baltimore. Once build out is complete project will generate \$800+ million in new taxes for the City of Baltimore and over 15 thousand permanent jobs.
- Develop financial models used to analyze and recommend financing and strategic alternatives for a \$150+ million Tax Increment Finance (TIF) bond issuance.
- Served as project manager on the \$75 million Fitzgerald development project. Negotiated a \$13 million public subsidy
  known as a Payment in Lieu of Taxes (PILOT). Once completed project will include 275 rental units and generate
  \$200+ thousand in new taxes for the City of Baltimore.
- Present financial analysis and project recommendations to audiences including: the Mayor's Office, Board of Directors, Executive staff, civic and municipal parties, developers, legislators, and members of the Board of Estimates and Planning Commission.

## **BALTIMORE DEVELOPMENT CORPORATION Assistant Economic Development Officer – West Team**

Baltimore, MD July 2007 – December 2008

Analyzed and evaluated the progress of major urban development and redevelopment projects.

- Co-Led the implementation effort for the Middle Branch Master Plan. Created and appointed members of the Middle Branch Task Force, an interagency working group focused on executing the Plan and carrying forward its vision and recommendations.
- Successfully led the Base Realignment and Closure (BRAC) Zone designation effort for the City of Baltimore, allowing the City to receive priority consideration for financing assistance from various State agencies.
- Responded to local legislative correspondence, reviewed and edited agency testimony for consistency with agency goals.

#### **EDUCATION**

MORGAN STATE UNIVERSITY – Earl G. Graves School of Business Bachelors of Business Administration

Baltimore, MD May 2007

#### MEMBERSHIP/VOLUNTEER

American Association of Blacks in Energy (AABE)

#### Jason B. Schwartzberg

Jason@mdenergyadvisors.com 13205 Falls Road Cockeysville, MD 21030 Cell: (443) 956-7853

#### PROFESSIONAL EXPERIENCE

#### Maryland Energy Advisors, Baltimore, Maryland

April 2010-Present

President

- Provide renewable energy consulting to clients including solar energy and wind energy analysis
- Perform energy audit, lighting retrofit project management and assist with utility bill auditing
- Evaluate energy efficiency & energy management options
- Advise on strategic electricity and natural gas purchasing decisions

#### A&R Development, Baltimore, Maryland

August 2011-April 2013

Development Manager

- Perform project management responsibilities for multiple real estate and business development projects
- Guide projects through the City approval process
- Analyze development budgets, pro formas and associated City tax benefits to make recommendations regarding project feasibility to executive staff,

#### **Baltimore Development Corporation**, Baltimore, Maryland

May 2008-August 2011

Economic Development Officer

- Perform project management responsibilities for multiple real estate and business development projects
- Guide projects through the City approval process
- Analyze development budgets, pro formas and associated City tax benefits to make recommendations regarding project feasibility to executive staff, board of directors, and Mayor.
- Negotiate leases, land purchase and sale agreements
- Identify and acquire sites for redevelopment.
- Propose business and real estate development projects to senior staff and advocate for their implementation based on research, market intelligence, and community outreach

#### **BOARD INVOLVEMENT**

The Associated:, Baltimore, Maryland

September 2011-Present

Board Member

Drive direction of Associated owned assets

#### McDonogh Alumni Executive Council, Owings Mills, Maryland

September 2010-August 2016

Vice President/Chairman of Mentoring Committee

• The council's principal function is to support the school by example and service and to provide guidance to the Alumni Office

#### **EDUCATION**

Loyola College in Maryland, Baltimore, Maryland

May 2008

Master of Business Administration

Franklin and Marshall College, Lancaster, Pennsylvania

May 2003

Bachelor of Arts in Government; Dean's List

Varsity Baseball: Four-year letter winner

#### Exhibit 18.1 – Present Licenses

Maryland Energy Advisors, LLC is currently licensed to provide gas and electric broker services in the following states:

Maryland License: IR – 1954 (electricity) Maryland Gas License: IR – 2825 (natural gas)

Illinois Electricity License: Docket 11-0473 (electricity)

District of Columbia License: Order No.: 17099 (electricity & natural gas)

New Hampshire: DM 14-361 (electricity) Rhode Island: Docket D-96-6 (17) (electricity) Pennsylvania: A-2015-2472201 (electricity) Maine: Case No.: 2014-00375 (electricity)

Virginia: License No.: A-38 (electricity & natural gas)

Ohio: Certificate No.: 14-908E (electric)

#### Exhibit 18.2 – Public Utility Commission Orders/Decisions

Please see attached Orders/Decisions from each of the Public Utility Commissions for the States referenced in Exhibit 18.1.

DOUGLAS R. M. NAZARIAN

HAROLD D. WILLIAMS
SUSANNE BROGAN
LAWRENCE BRENNER
THERESE M. GOLDSMITH



#### PUBLIC SERVICE COMMISSION

#15, 6/2/10 AM, ML# 122866 License Reference No.: IR-1954

June 2, 2010

Mr. Jason Schwartzberg, President Maryland Energy Advisors, LLC P. O. Box 1051 Cockeysville, MD 21030

Dear Mr. Schwartzberg:

On April 30, 2010, Maryland Energy Advisors, LLC filed an Application for a license to supply electricity or electric generation services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier services in Maryland for residential, commercial and industrial customers without restrictions on the number and size of end use customers as described in the application.

After considering this matter at the June 2, 2010 Administrative Meeting, the Commission granted Maryland Energy Advisors, LLC a license to supply electricity or electric generation services in Maryland in accordance with its Application (License Reference Number IR-1954). The license granted by the Commission under this Letter Order is limited solely to electricity broker services to residential, commercial and industrial customers.

The Commission indicated its concern that the Company's name and acronym could be misleading or cause confusion to the customer. Consequently, the Commission directed the Company to share with Staff how the Company intends to market its service to avoid causing confusion or misleading customers.

Finally, Maryland Energy Advisors, LLC is reminded that it is under a continuing obligation to notify the Commission of any substantial changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

/s/ Torry J. Romino

Terry J. Romine Executive Secretary

TJR/gid

Phil VanderHeyden, Electricity Division

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000 • Toll Free: 1-800-492-0474 • FAX: 410-333-6495

MDRS: 1-800-735-2258 (TTY/Voice) • Website: www.psc.state.md.us

#### DOUGLAS R. M. NAZARIAN CHAIRMAN

HAROLD D. WILLIAMS
LAWRENCE BRENNER
KELLY SPEAKES-BACKMAN
W. KEVIN HUGHES

#### STATE OF MARYLAND



#### PUBLIC SERVICE COMMISSION

#11, 11/28/12 AM; ML#s 142752, 143499, 143717 and 143812 License Reference No.: IR-2825

November 28, 2012

Jason Schwartzberg President Maryland Energy Advisors, LLC P. O. Box 1051 Cockeysville, MD 21030

Dear Mr. Schwartzberg:

On September 24, 2012, Maryland Energy Advisors, LLC ("Company") filed an Application for a license to supply natural gas or natural gas supply services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supplier services in Maryland for residential, commercial and industrial customers. Additional information was filed on November 5, 2012, November 12, 2012 and November 26, 2012.

After considering this matter at the November 28, 2012 Administrative Meeting, the Commission granted the Company a license to supply natural gas or natural gas supply services in Maryland in accordance with its Application (License Reference Number IR-2825). The license granted by the Commission under this Letter Order is limited solely to natural gas supplier broker services to residential, commercial and industrial customers.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

/s/David J. Collins

David J. Collins
Executive Secretary

DJC/st

cc: Gas Division

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000 • Toll Free: 1-800-492-0474

MDRS: 1-800-735-2258 (TTY/Voice)

FAX: 410-333-6495

Website: www.psc.state.md.us

#### STATE OF ILLINOIS

#### ILLINOIS COMMERCE COMMISSION

Maryland Energy Advisors LLC

Application for Licensure of

Agents, Brokers and Consultants

11-0473

under Section 16-115C of the

Public Utilities Act.

ORDER

By the Commission:

#### PRELIMINARY MATTERS 1.

On June 8, 2011, Maryland Energy Advisors LLC ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 III. Adm. Code 454 ("Part 454"). The Administrative Law Judge requested additional information and clarification of certain matters contained in the The Applicant filed a verified response providing the requested Application. Applicant requests authority to offer services as an ABC for the information. procurement or sale of retail electricity supply for third parties in the State of Illinois.

#### REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE II. ACT

Applicant is a business organized under the laws of Maryland and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in Applicant has agreed to adopt and follow rules and which they were created. procedures to preserve the confidentiality of its customers' data.

# III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment 1. Attachment 2 includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment 1 includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment 4. Attachment 4 includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

The Applicant indicates in its application that it is licensed as an Electricity Broker in Maryland; and that it has not had any complaints filed against it for its provision of services in the gas or electric industry.

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

#### IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

#### CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Maryland Energy Advisors LLC is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

#### V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Maryland Energy Advisors LLC, a business organized under the laws of Maryland, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Maryland Energy Advisors LLC should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Maryland Energy Advisors LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Maryland Energy Advisors LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 III. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 8th day of September, 2011.

(SIGNED) DOUGLAS P. SCOTT

Chairman

#### PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA 1333 H STREET N.W., 2ND FLOOR, WEST TOWER WASHINGTON, D.C. 20005

#### **ORDER**

March 26, 2013

# FORMAL CASE NO. EA 2013-04 IN THE MATTER OF THE APPLICATION OF MARYLAND ENERGY ADVISORS, LLC D/B/A POINTCLICKSWITCH.COM FOR AN ELECTRICITY LICENSE, Order No. 17099

- 1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants a license to Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com ("Maryland Energy Advisors" or "Applicant") to function as an electricity supplier in the District of Columbia. Specifically, Maryland Energy Advisors intends to operate as a broker of electricity for residential, commercial and industrial customers in the District of Columbia, pursuant to Section 34-1505 of the "Retail Electric Competition and Consumer Protection Act of 1999 ("Act")."
- 2. On February 20, 2013, Maryland Energy Advisors filed its license application.<sup>3</sup> Pursuant to the Commission's licensing requirements as set forth in Order No. 11796,<sup>4</sup> Maryland Energy Advisors has provided the following information:
  - a) Proof of technical and managerial competence;
  - b) An affidavit of compliance with applicable Federal and District of Columbia environmental laws and regulations dated January 30, 2013;
  - c) Proof of financial integrity to include financial statements;
  - d) Proof that Maryland Energy Advisors has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;

D.C. Official Code § 34-1501(17) (2010 Repl.) defines, in part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers."

<sup>&</sup>lt;sup>2</sup> D.C. Official Code § 34-1505; see generally §§ 34-1501-1520.

Formal Case No. EA 2013-04, In the Matter of the Application of Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com for an Electricity License. Application of Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com filed February 20, 2013. The Applicant filed supplemental information regarding its application on March 22, 2013.

<sup>&</sup>lt;sup>4</sup> Formal Case No. 945, In the Matter of the Investigation into Electric Service Market Competition and Regulatory Practices, Order No. 11796 at Attachment C, rel. September 20, 2000.

- e) An affidavit that Maryland Energy Advisors is subject to all applicable taxes;
- f) An affidavit dated January 30, 2013, stating that Maryland Energy Advisors will comply with all the requirements of all orders and regulations of the Commission; and
- g) Applicant's website address: <a href="http://www.mdenergyadvisors.com">http://www.mdenergyadvisors.com</a>; and <a href="http://www.mdenergyadvisors.com">www.pointclickswitch.com/</a>.
- 3. After a complete review of the application, the Commission finds that granting the application of Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com will serve the public interest. The information contained in its application demonstrates that the Applicant has the ability and the financial integrity to serve electricity customers in the District of Columbia.

#### THEREFORE, IT IS ORDERED THAT:

4. The application of Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:

**CHIEF CLERK:** 

BRINDA WESTBROOK-SEDGWICK COMMISSION SECRETARY

Bunda Darkart Selgerick

#### PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA 1333 H STREET N.W., 2ND FLOOR, WEST TOWER WASHINGTON, D.C. 20005

#### **ORDER**

November 18, 2014

FORMAL CASE NO. GA 2014-08, IN THE MATTER OF THE APPLICATION OF MARYLAND ENERGY ADVSIORS, LLC D/B/A POINTCLICKSWITCH.COM FOR A NATURAL GAS SUPPLIER LICENSE; Order No. 17705

#### I. <u>INTRODUCTION</u>

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants a license to Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com ("Maryland Energy Advisors" or "Applicant") to operate as a natural gas supplier in the District of Columbia. The license for Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com is effective upon the issuance of this Order.

#### II. BACKGROUND

- 2. On July 14, 2014, Maryland Energy Advisors filed its license application.<sup>2</sup> Specifically, Maryland Energy Advisors intend to operate as an aggregator/broker of natural gas for distribution to residential, commercial and industrial customers in the District of Columbia. Pursuant to the Commission's licensing requirements as set forth in Order Nos. 12709 and 12903 in GT 96-3,<sup>3</sup> Maryland Energy Advisors provided the following information:
  - a) Proof of technical and managerial competence;
  - b) Affidavit dated June 23, 2014, affirming compliance with applicable Federal and District of Columbia environmental laws and regulations;
  - c) Proof of financial integrity including financial statements;
  - d) Proof that Maryland Energy Advisors registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;

D.C. Code § 34-1671.02(12) (2001) defines a natural gas supplier as "a person including an aggregator, broker, or marketer, who sell natural gas or purchases, brokers, arranges or, markets natural gas for sale to customers."

Formal Case No. GA 2014-08, In the Matter of the Application of Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com for a Natural Gas Supplier License ("Formal Case No. GA 2014-08"), Application of Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com filed July 14, 2014.

GT 96-3, Order No. 12709, as revised by Order No. 12903, at Attachment C.

- e) Affidavit dated June 23, 2014, stating that Maryland Energy Advisors is subject to all applicable taxes;
- f) Affidavit dated June 23, 2014, stating that Maryland Energy Advisors will comply with all the requirements of all orders and regulations of the Commission; and
- g) Applicant's website address': <u>www.mdenergyadvisors.com</u>; www.pointclickswitch.com.

Maryland Energy Advisors filed supplemental information on August 18, September 15 and October 29, 2014.<sup>4</sup>

#### III. <u>DISCUSSION</u>

3. Our review of the information contained in Maryland Energy Advisors' application demonstrates that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve natural gas customers in the District of Columbia. The Commission also finds that granting Maryland Energy Advisors' application will serve the public interest. Accordingly Maryland Energy Advisors' application is approved.

#### THEREFORE, IT IS ORDERED THAT:

4. The application of Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com for a license to conduct business in the District of Columbia as an aggregator/broker of natural gas is hereby **GRANTED**. The license for Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com is effective upon the issuance of this Order.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:

**CHIEF CLERK:** 

BRINDA WESTBROOK-SEDGWICK COMMISSION SECRETARY

Brinda Desthook Idgwid

Formal Case No. GA 2014-08, Maryland Energy Advisors filed supplemental information on August 18, September 15 and October 29, 2014.

#### THE STATE OF NEW HAMPSHIRE

CHAIRMAN Martin P. Honigberg

· OMMISSIONERS Robert R. Scott Kathryn M. Bailey

EXECUTIVE DIRECTOR Debra A. Howland



#### PUBLIC UTILITIES COMMISSION 21 S. Fruit Street, Suite 10 Concord, N.H. 03301-2429

October 25, 2016

TDD Access: Relay NH

1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website:

www.puc.nh.gov

Phil E. Croskey, CEO Maryland Energy Advisors LLC 509 S. Exeter Street, Suite 500 Baltimore, MD 21202

Re: DM 16-831 Maryland Energy Advisors LLC

Application to Renew Registration as a Provider of Electric Aggregation Service

Dear Mr. Croskey:

On October 16, 2016, Maryland Energy Advisors LLC submitted an application with the Commission to renew its registration as a provider of electric aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application effective as of December 11, 2016. The registration is for a term of five years and expires at the close of business on December 11, 2021. Pursuant to N.H. Code Admin. Rules Puc 2003.05(a), you must submit your next renewal application at least 60 days prior to the expiration of the registration approved here, on or before October 12, 2021.

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at: <a href="http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf">http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf</a>. Please also be aware that the Commission has opened Docket DRM 13-151 to consider revisions to these rules. All non-confidential filings in Docket DRM 13-151 can be found at <a href="http://www.puc.nh.gov/Regulatory/Docketbk/2013/13-151.html">http://www.puc.nh.gov/Regulatory/Docketbk/2013/13-151.html</a>. The revised rules may contain different requirements for maintaining registration as a provider of electric aggregation service that might affect your registration.

Please note that, effective as of July 1, 2014, each registered electric load aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,
Deli A. Loulus

Debra A. Howland Executive Director

cc: Service List/Docket File

# PENNSYLVANIA PUBLIC UTILITY COMMISSION Harrisburg, PA 17120

Electric Generation Supplier License Cancellations of Companies with an Expired Financial Security

M-2017-2584781

#### FINAL ORDER

On August 31, 2017, a Tentative Order was entered in the above captioned proceeding.

The Electric Generation Suppliers (EGS) listed in the Table 1 -Suppliers with Approved Financial Security filed approved financial securities within 30 days after publication in the *Pennsylvania Bulletin*.

Table 1 - Suppliers with Approved Financial Security

Below are the EGS licensees that provided the required approved financial security.

<b>Docket Number</b>	Company Name
A-2010-2192731*	AP GAS & ELECTRIC (PA) LLC
A-2014-2438483*	EDF ENERGY SERVICES LLC
A-2014-2433211*	ELIGO ENERGY PA LLC
A-2016-2552191	ENERGY ADVISORY SERVICE LLC
A-2012-2322961	GLOBAL VISION ENERGY LLC
A-2015-2472201	MARYLAND ENERGY ADVISORS
A-2009-2137244	MITCHELL ENERGY MANAGEMENT SERVICES INC
A-2012-2322668*	MP2 ENERGY NE LLC
A-2010-2205479*	OASIS POWER LLC
A-2013-2380918	SAVE ON ENERGY LLC
A-2016-2565285	SUSAN INDYK MENOZZI
A-2016-2565723*	TENASKA POWER MANAGEMENT LLC

<sup>\*</sup>Taking title to electricity

The EGSs listed in Table 2 – Supplier Cancellation did not file any adverse public comments or approved security within 30 days after publication in the *Pennsylvania Bulletin*.

**Table 2 - Supplier Cancellation** 

Below is the EGS licensee that did not provide the required approved financial security.

<b>Docket Number</b>	Company Name
A-2015-2480510	ARA CONSULTING GROUP LLC
A-2016-2568296*	ASPIRITY ENERGY LLC
A-2013-2378131	EMISSIONS CONSULT LLC
A-2014-2409704	T&M ASSOCIATES INC
A-2010-2154300	TECHNOLOGY RESOURCE SOLUTIONS INC

<sup>\*</sup>Taking title to electricity

The Commission's regulations at 52 Pa. Code §54.42(a) require that a licensee shall comply with the applicable requirements of the code and Commission regulations and orders. Consistent with due process, a license may be suspended or revoked, and fines may be imposed against the licensee for failure to furnish and maintain a bond or other security approved by the Commission in the amount directed by the Commission.

The Tentative Order has become FINAL by operation of law. The Electric Generation Supplier Licenses held by the companies listed in Table 2 - Supplier Cancellation are cancelled, and shall be stricken from all active utility lists maintained by the Commission's Bureau of Technical Utility Services and the Assessment Section of the Bureau of Administration, removed from the Commission's website, and notifications be sent to all electric distribution companies in which the Electric Generation Suppliers are licensed to do business.

BY THE COMMISSION.

Rosemary Chiavetta

Secretary

ORDER ENTERED: October 19, 2017

STATE OF MAINE PUBLIC UTILITIES COMMISSION

Docket No. 2014-00375

January 14, 2015

MARYLAND ENERGY ADVISORS LLC D/B/A POINTCLICKSWITCH.COM Application for License to Operate as a Competitive Electricity Provider ORDER GRANTING LICENSE

VANNOY, Chairman; LITTELL, Commissioner

#### I. SUMMARY

In this Order, we license Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com to operate as a competitive electricity provider furnishing aggregator/broker service for residential, small non-residential, medium non-residential, and large non-residential customer classes throughout Central Maine Power Company, Emera-Bangor Hydro-Electric District, and Emera-Maine Public Service District territories pursuant to Chapter 305 of the Commission's Rules.

### II. APPLICATION AND DECISION

On December 15, 2014, PointClickSwitch.com applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. PointClickSwitch.com proposes to provide aggregator/broker service for residential, small non-residential, medium non-residential, and large non-residential customer classes throughout Central Maine Power Company, Emera-Bangor Hydro-Electric District, and Emera-Maine Public Service District territories and has met all the Chapter 305 filing requirements.

As a licensed competitive electricity provider, PointClickSwitch.com is required to comply with all applicable requirements and regulations, including all conditions of licensing, customer protection rules, and the filing of annual reports. To the extent that a licensed competitive electricity provider uses third-parties to assist in obtaining customers during the course of its business, Chapter 305 § 4(C) of the Commission's Rules places the responsibility on the competitive electricity provider for violations of the provisions of this section by representatives or agents acting on the CEP's behalf. Chapter 305 § 2(C)(3) also requires a competitive electricity provider to use reasonable efforts not to conduct business with any entity acting as a competitive electricity provider in Maine without a license from the Commission. Therefore, if PointClickSwitch.com uses a third party to market its services, it is responsible to ensure that the third party has met any licensing requirements of the Commission.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive

Electricity Providers, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S. § 3203 (1)(2) and Chapter 305, § 2 to license competitive electricity providers. On September 12, 2009, in Docket No. 2009-260, statutory changes took effect that changed the title of this position. P.L. 2009, ch. 122. The Director of Technical Analysis is now the Director of Electric and Gas Utility Industries. All existing delegation orders that delegate Commission authority to the Director of Technical Analysis will now read that we delegate our authority to the Director of Electric and Gas Utility Industries.

Accordingly, it is

#### ORDERED

- 1. That Maryland Energy Advisors, LLC d/b/a PointClickSwitch.com is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's Rules, to provide aggregator/broker services for residential, small non-residential, medium non-residential, and large non-residential customer classes throughout Central Maine Power Company, Emera-Bangor Hydro-Electric District, and Emera-Maine Public Service District territories pursuant to Chapter 305 of the Commission's Rules; and
- 2. That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this 14th day of January, 2015.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES

Faith Huntington

### NOTICE OF RIGHTS TO REVIEW OR APPEAL

5 M.R.S.A. § 9061 requires the Public Utilities Commission to give each party to an adjudicatory proceeding written notice of the party's rights to review or appeal of its decision made at the conclusion of the adjudicatory proceeding. The methods of review or appeal of PUC decisions at the conclusion of an adjudicatory proceeding are as follows:

- 1. <u>Reconsideration</u> of the Commission's Order may be requested under Section 1004 of the Commission's Rules of Practice and Procedure (65-407 C.M.R.110) within 20 days of the date of the Order by filing a petition with the Commission stating the grounds upon which reconsideration is sought. Any petition not granted within 20 days from the date of filing is denied.
- 2. <u>Appeal of a final decision</u> of the Commission may be taken to the Law Court by filing, within 21 days of the date of the Order, a Notice of Appeal with the Administrative Director of the Commission, pursuant to 35-A M.R.S.A. § 1320(1)-(4) and the Maine Rules of Appellate Procedure.
- 3. Additional court review of constitutional issues or issues involving the justness or reasonableness of rates may be had by the filing of an appeal with the Law Court, pursuant to 35-A M.R.S.A. § 1320(5).

Note: The attachment of this Notice to a document does not indicate the Commission's view that the particular document may be subject to review or appeal. Similarly, the failure of the Commission to attach a copy of this Notice to a document does not indicate the Commission's view that the document is not subject to review or appeal.



Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

### PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL Certificate Number: 14-908E (3)

Issued Pursuant to Case Number(s):

#### 14-2105-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to Maryland Energy Advisors LLC whose office or principal place of business is located at 509 S. Exeter Street, Suite 320, Baltimore, MD 21202 to provide <u>power broker</u> services within the State of Ohio effective December 21, 2018.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: January 3, 2019

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Tanowa M. Troupe, Acting Secretary Susan Patterson, Acting Secretary Beverly Hoskinson, Acting Secretary

Certificate Expires: December 21, 2020

(614) 466-3016 www.PUCO.ohio.gov

## **CRES AUTOMATIC CASE ACTION FORM**

Date: 1-2-19	Case Number: 14-2105-EL-AGG						
Company Name and Company Name d/b/a: Maryland Energy Advisors LLC Company Address: 509 S Exeter Street Suite 320 Company City/State/Zip: Baltimore MD 21202							
Regulatory Contact: Phil Cro	skey						
Address: 509 S Exeter S	Phone: 410-779-9644 Email: phil@mdenergyadvisors.com Address: 509 S Exeter Street Suite 320 City/State/Zip: Baltimore MD 21202						
Renewal							
Action Needed:  Issue Certificate Number to Effective Date of Certificat							
Training 1	from: 14-908E (2) to: 14-908E (3) e: 12-21-18 Certificate Expires: 12-21-20						
⊠Certified To Provide the Fol	lowing Services:						
<ul><li>☐ Retail Generation</li><li>☐ Aggregation</li><li>☐ Power Marketer</li><li>☐ Power Broker</li><li>☐ Governmental Aggregation</li></ul>	ation						
Revise Certificate Number:	to (check all applicable):						
Reflect name change f Reflect address chang Correct Administrative Reflect Change of Own	e from: to Error:						
<ul><li>☐ Cancel Certificate Number:</li><li>☐ Protect Un-redacted copies</li><li>☐ Close Case File, Case With</li><li>☐ Close Case File</li></ul>							

Service Notice For Case: 14-2105-EL-AGG

CASE NUMBER:

14-2105-EL-AGG

CASE DESCRIPTION:

MARYLAND ENERGY ADVISORS LLC

DATE OF SERVICE:

1/3/2019

DOCUMENT SIGNED ON: 1/3/2019

Sign Here:\_\_\_\_\_\_

**APPLICANT** 

PARTY OF RECORD

**ATTORNEY** 

MARYLAND ENERGY ADVISORS LLC

NONE

PHIL CROSKEY

**509 S EXETER STREET** 

**SUITE 320** 

BALTIMORE,MD 21202

Phone:410-779-9644

Email:PHIL@MDENERGYADVISORS.COM

### COMMONWEALTH OF VIRGINIA

STATE CORPORATION COMMISSION 40830182

SCC-DLERK'S OFFICE ADDITION CONTROL CENTER

AT RICHMOND, AUGUST 27, 2014

oceann res 27 P 4: 25

APPLICATION OF

MARYLAND ENERGY ADVISORS, LLC

CASE NO. PUE-2014-00074

For a license to conduct business as an aggregator of natural gas and electricity

### ORDER GRANTING LICENSE

On July 14, 2014, Maryland Energy Advisors, LLC ("MEA" or "Company"), filed an application with the State Corporation Commission ("Commission") for a license to conduct business as an aggregator of natural gas and electricity ("Application"). In its Application, the Company seeks authority to serve commercial and industrial customers throughout Virginia. The Company attested that it would abide by all applicable regulations of the Commission as required by 20 VAC 5-312-40 B of the Commission's Rules Governing Retail Access to Competitive Energy Services.

On July 23, 2014, the Commission entered an Order for Notice and Comment, which, among other things, docketed the case; required MEA to give notice to appropriate persons; provided for the receipt of comments from the public; required the Commission Staff ("Staff") to analyze the reasonableness of the Application and present its findings and recommendations in a Staff Report; and provided an opportunity for participants to file any reply comments to the Staff Report.

<sup>&</sup>lt;sup>1</sup> Although MEA seeks to serve customers throughout the Commonwealth of Virginia, retail choice as authorized under Virginia law exists only in the service territories of Columbia Gas of Virginia, Inc., Washington Gas Light Company, Dominion Virginia Power, Appalachian Power Company, and the electric cooperatives. Access to industrial gas customers in other gas distribution service territories has existed under FERC authority since the mid-1980s.

On August 11, 2014, Virginia Electric and Power Company d/b/a Dominion Virginia Power ("Dominion Virginia Power") filed a Notice of Participation.

On August 18, 2014, the Staff filed its Report, which summarized MEA's proposal and evaluated its technical fitness and financial condition. The Staff recommended that MEA be granted a license to conduct business as an aggregator of natural gas and electricity services to commercial, industrial and government customers throughout the Commonwealth of Virginia as the Commonwealth opens to retail access and customer choice. Neither MEA nor Dominion Virginia Power filed a response to the Staff Report.

NOW THE COMMISSION, upon consideration of the Application, participant comments, the Staff Report, and applicable law and our Retail Access Rules, finds that MEA's Application for a license to conduct business as an aggregator of natural gas and electricity should be granted, subject to the conditions set forth below, and that this case should be continued to accommodate the consideration of any subsequent amendments or modifications to the license granted herein.

### Accordingly, IT IS ORDERED THAT:

- (1) MEA is hereby granted License No. A-38 to provide competitive aggregation service for natural gas and electricity to eligible customers in the Commonwealth of Virginia as the Commonwealth opens to retail access and customer choice. This license to act as an aggregator is granted subject to the provisions of the Retail Access Rules, this Order, and other applicable law.
- (2) This license is not valid authority for the provision of any product or service not identified within the license itself.

(3) This case shall remain open for consideration of any subsequent amendments or modifications to the license granted herein.

AN ATTESTED COPY hereof shall be sent by the Clerk of the Commission to:

Jason Schwartzberg, President, Maryland Energy Advisors, LLC, 509 South Exeter Street,
Suite 500, Baltimore, MD 21202; William H. Baxter, II, Esquire, Dominion Resource Services,
Inc., Law Department, RS-2, 120 Tredegar Street, Richmond, Virginia 23219; Kristian M. Dahl,
Esquire; McGuire Woods LLP, One James Center, 901 East Cary Street, Richmond, Virginia
23219-4030; C. Meade Browder, Esquire, Senior Assistant Attorney General and Chief, Division
of Consumer Counsel, Office of the Attorney General, 900 East Main Street, Second Floor,
Richmond, Virginia 23219; Craig G. Goodman, President, National Energy Marketers
Association, 3333 K Street, N.W., Suite 425, Washington, D.C. 20007; and Irene Leech,
Virginia Citizens Consumer Council, 4220 North Fork Road, Elliston, Virginia 24087. A copy
shall be delivered to the Commission's Office of General Counsel and Divisions of Utility
Accounting and Finance and Energy Regulation.

A True Copy

Clerk of the State Corporation Commission



Thomas F. Ahern ADMINISTRATOR 89 Jefferson Boulevard Warwick, R.I. 02888

OFFICE (401) 941-4500 x115 FAX (401) 941-9207 TDD (401) 941-4500

January 20, 2015

Phil Croskey CEO Maryland Energy Advisors, LLC 509 S. Exeter Street, Suite 500 Baltimore, MD 21202

Re: Maryland Energy Advisors, LLC's Application for Registration as a Non Regulated Power Producer pursuant to R.I.G.L. 39-1-27.1(c). Division Docket D-96-6 (17)

Dear Mr. Croskey:

The Division of Public Utilities has reviewed Maryland Energy Advisors, LLC's application for registration as a nonregulated power producer, pursuant to R.I.G.L. 39-1-27.1(c) and the Division's Rules Applicable to Nonregulated Power Producers. The application is complete as to the statutory requirements of 39-1-27.1(c), itemized in statute at (i) through (ix) and the Division's rules.

The Division accepts the registration application of Maryland Energy Advisors, LLC as a nonregulated power producer. In conformance with the law, please note that the registration must be updated within ten days of any change to the information included in your application.

Very truly yours,

cc: Luly Massaro, Commission Clerk John Bell, Utility Analyst

T. F. ahern

# Section 2.5 Verification of Application

•	Please provide a signed, swo	rn statement of	f verification	for each	subsequent	filing in	this
	docket						

### **VERIFICATION**

STATE OF MARYLAND

22

COUNTY OF <u>Baltimore</u>

On This 2 day of \_\_\_\_\_\_\_, 2019, personally came before me, the subscriber, a Notary Public in and for the state and county aforesaid, Emily K. Lashley, as the Agent of Maryland Energy Advisors LLC, known to me personally to be such or having presented to me satisfactory evidence of identity, and acknowledged this document to be her act and deed and the act and deed of such Limited Liability Company, that the signature of such individual is in her own proper handwriting, and that the facts set forth in this Application's subsequent filings are true and correct to the best of her knowledge, information and belief.

Signature of Individual

Printed Name: Emily K. Lashley

SIGNED AND SWRON OR AFFIRMED before me on this 21 day of \_\_\_\_\_\_, 2019, by Emily K. Lashley (name of individual who signed above).

Signature of Notarial Officer

Title (e.g. Notary Public)

My Commission Expires:

10078568

SEAL NOTARY PUBLIC